

AN EMERGING THREATS ESSAY

Out of the Loop

The Human-free Future of Unmanned Aerial Vehicles

by Shane Harris

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www.emergingthreatsessays.com

In the game of life and evolution there are three players at the table: human beings, nature, and machines. I am firmly on the side of nature. But nature, I suspect, is on the side of the machines.

—George Dyson in *Darwin Among the Machines*¹

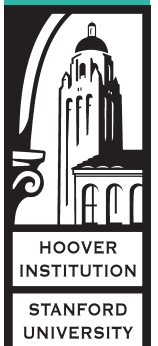
If you want to understand how human beings stack up next to machines in the conduct of modern warfare, consider this:

In World War II, it took a fleet of 1,000 B-17 bombers—flown, navigated, and manned by a crew of 10,000 men—to destroy one Axis ground target. American bombs were so imprecise that, on average, only one in five fell within 1,000 feet of where they were aimed. Aerial bombing was a clumsy affair, utterly dependent on the extraordinary labor of human beings.

Just one generation later, that was no longer true. In the Vietnam War, it took thirty F-4 fighter-bombers, each flown and navigated by only two men, to destroy a target. That was a 99.4 percent reduction in manpower. The precision of attack was also greatly enhanced by the first widespread use of laser-guided munitions.

After Vietnam, humans' connection to air war became more attenuated, and less relevant. In the Gulf War, one pilot flying one plane could hit two targets. The effectiveness of the human-machine pairing was breathtaking. A single "smart bomb" could do the work of 1,000 planes dropping more than 9,000 bombs in World War II. By the time the United States went to war in Afghanistan and Iraq, one pilot in one plane could destroy

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six targets. Their weapons were guided by global positioning satellites orbiting thousands of miles above the surface of the earth. And increasingly, the pilots weren't actually inside their planes anymore.

The historical trend is sobering. As aircraft and weapons have become more precise, human beings have become less essential to the conduct of war. And that may suit the military just fine.

In 2009, the Air Force released its "Flight Plan" for unmanned aircraft systems, a big-picture forecast about how the service will fight wars by the year 2047.² It dutifully points out that humans currently remain "in the loop" on strike missions—that is, they still actually fly airplanes. But within the next five to ten years, the Air Force intends that one pilot will *control* four aircraft. He or she will not sit in a cockpit, or even in a seat thousands of miles away made up to look like one. The pilot will communicate with the fleet via a computer terminal and a keyboard, maybe even a smartphone. After issuing a flight plan, the aircraft will be responsible for completing many important aspects of the mission unassisted: taking off, flying to the target, avoiding detection by adversaries. The Air Force's goal is for one human controller and a fleet of drones to be able to attack thirty-two targets with near-perfect precision.

In this scenario, the pilot will be "on the loop." It is a rather disquieting place to be, since it is only a step away from being out of the loop—which is where, by mid-century, when the Air Force's plan takes full effect, people will be. A single "mission commander" will communicate with a "swarm" of autonomous unmanned systems. These self-operated flying robots, the size of flies or moths, will be able to fly inside buildings, conduct reconnaissance, and mass upon their targets with insect-like efficiency. In some cases, the swarm won't communicate with a human being, but with other drones.

The Air Force is already developing a fleet of tiny, semi-autonomous warriors. In April 2011, it issued a request for proposals for a drone that sneaks up on an enemy and sprays him with a trackable dust or powder that tells larger armed, orbiting drones where to aim their missiles.³ The Air Force calls the human a "passive" participant in the swarm's behavior. That human mission commander will not actually command anything.

It seems implausible that the U.S. military would deliberately reduce the warrior's role in war to the point that people become mere monitors of autonomous, man-made

technology. But this is precisely where the evolutionary trend has been heading ever since the 1940s. Autonomy is the logical endpoint of a century of technological progress. And since taking human beings out of the loop means making them safer, it is an attractive goal.

While there is a tremendous amount of money and thought going towards the construction of new drones, comparatively less attention is being paid to managing the consequences of autonomous warfare. The proliferation of drones raises profound questions of morality, hints at the possibility of a new arms race, and may even imperil the survival of the human species. Many of the most important policy judgments about how to adapt the machines to a human world are being based on the assumption that a drone-filled future is not just desirable, but inevitable.

This dilemma is not restricted to the battlefield. Civilian society will eventually be deposited in this automated future, and by the time we've arrived, we probably won't understand how we got there, and how the machines gained so much influence over our lives. This is the fate that Bill Joy, the co-founder and former chief scientist of Sun Microsystems, described in his dystopian essay "Why the Future Doesn't Need Us," published in *Wired* magazine in 2000.⁴ Joy's fear—as controversial now as it was then—is that human beings will sow the seeds of their own extinction by building machines with the ability to think for themselves, and eventually to reproduce and destroy their creators. It is essentially the same nightmare that James Cameron imagined in the *Terminator* series. Joy begins his essay with an unwillingness to accept that human beings would ever consciously allow this to happen. But then he visits a friend, the futurist Danny Hills, who co-founded Thinking Machines Corporation. Hills tells Joy the future will not be announced with a Hollywood bang but that "the changes would come gradually, and that we would get used to them."⁵

The military has followed this path, gradually adjusting as it pushes humans out of certain tasks that a generation ago would have never been handed over to machines. The robots and nanobots that Joy imagined exist today as unmanned aerial vehicles, more commonly known as drones. The Air Force studiously avoids the term drone—and encourages others to do the same—because it connotes a single-minded insect or parasite that is beyond the control of people. Drone operators prefer "remote piloted aircraft," which reminds us that as independent as the missile-wielding flying robot might seem, there is always a human being at the end of its digital leash. That is, of course, until the human becomes passive to the swarm.

In any case, it is not an overstatement to say that the people building and flying these unmanned machines are wrestling now with the very fundamentals of what it means to be human. And while senior military officials and policymakers swear up and down that humans will always have at least a foot in the loop, and that the military would never deploy robots that can select and attack targets on their own, the evidence suggests otherwise.

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In his 2009 book *Wired for War: The Robotics Revolution and Conflict in the 21st Century*, P. W. Singer documents at least five formal programs or plans put in motion by the military and the Defense Department in recent years to build autonomy into weapons systems. Indeed, the Joint Forces Command wrote a report in 2005 suggesting that “autonomous robots on the battlefield will be the norm within twenty years,” Singer writes. “Its official title was somewhat amusing, given the official mantra one usually hears on the issue: ‘Unmanned Effects: Taking the Human Out of the Loop.’”⁶

The military is well on track to achieve the future state imagined in its grand plan—and so is society at large. Technology does not stand in the way. “The capability is there,” says Lindsay Voss, the senior research analyst at the Association for Unmanned Vehicle Systems International, which promotes the use of unmanned systems in non-military settings.⁷ The question is whether we trust it.

To a certain degree, the unmanned systems flying combat missions today over Iraq, Afghanistan, and Pakistan, where they’ve become central to the war effort, are already autonomous. “They’re riding on beefy autopilots,” says Kyle Snyder, the director of unmanned aerial systems programs at Middle Tennessee State University.⁸ The drones are using technology similar to that in commercial airplanes. They know how to hold a heading and altitude without human intervention.

But Snyder, who is educating the next generation of drone-makers and operators, says flight control technology is rapidly becoming more independent. “What makes [the next generation of aircraft] so smart is how they interact with the rest of air traffic and other unmanned aerial vehicles,” he says. “Right now, we’ve got a human in the loop, looking at screens or out windows, seeing traffic and listening to air traffic control. The new technology makes UAVs autonomous, because it lets them understand what the

[human] air traffic controller is saying: ‘You’ve got traffic at 12 o’clock. It’s a 777, and it’ll pass you.’”⁹

Snyder is not talking about drones in the combat theatre, but in domestic U.S. air space. The military has paved the way for the proliferation of unmanned systems for domestic use. Once the Federal Aviation Administration changes airspace regulations to accommodate, and to adapt to, remote-piloted and autonomous aircraft, drones will move from the battlefield to the friendly skies.

While the idea of boarding a Delta Airlines flight with no pilots might terrify today’s traveler, in the near term—perhaps over the next two decades—many experts consider it likely that FedEx or UPS will replace some of their manned cargo fleet with unmanned planes. “The public is going to have to be warmed up to the idea that they’re flying on an aircraft sharing the sky with aircraft that are unmanned,” says Rick Prosek, who manages the FAA’s Unmanned Aircraft Program Office. “You’ve got to get a toe into the water.”¹⁰

Listening to Prosek, one hears that gradual shift towards the inevitable. “When I was a kid and you got onto an elevator, there was a guy sitting on the stool who asked you what floor to go to,” he says. “Now most people are not aware there ever was an elevator operator out there.”¹¹

Prosek calls it “a far larger step” to accept sharing the airspace with fully autonomous vehicles, capable of deciding on their own how fast to fly, how to avoid rough weather, and how to steer clear of other planes. But that day, which he and so many experts have anticipated for years, is coming. “We used to joke that the air crew of the future would be one pilot and one dog. The dog would be there to bite the pilot if he tried to do anything.”¹²

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Currently, the FAA prohibits anyone not affiliated with the federal government from flying unmanned aircraft, unless it is for the purpose of experimental research. The operators are expressly forbidden from generating any profit, which has largely sidelined most entrepreneurs and kept the commercial drone business from taking off. But once the FAA lifts those restrictions, experts predict that a proliferation of drone technology will eclipse what the military has experienced thus far.

Using the Air Force's own flight plan as a rough guide, by 2040:

- Agricultural producers will use small hover drones to monitor crop yields and herd livestock.
- SWAT teams will send mechanical insects equipped with video cameras to creep inside a building during a hostage standoff.
- The U.S.-Mexico border will be monitored by a fleet of robotic birds, which may stay aloft for days or weeks without recharging their batteries.
- Traffic helicopters will no longer require a human pilot.

And this forecast is probably too conservative. Right now, law enforcement agencies and the military are using experimental, autonomous robots for surveillance missions with domestic applications, such as border patrol and hostage rescue. Designers are taking their inspiration from nature, a field of research known as biomimicry. They are building drone "spiders" to climb up tree trunks, skitter to the end of a branch, and then "perch and stare" at their surroundings. The Air Force's Wasp III, a collapsible prop-plane, is modeled after a soaring bird. It weighs only a few pounds, and its wings are made of a foam composite. The Wasp patrols from above using an internal GPS and navigation system, as well as an autopilot. The Wasp can function autonomously from take-off to landing.

The Wasp actually looks more like a hawk. "It kind of circles up in the air," Voss says. "If you didn't know what it was, you would think it was just a bird. They'll attract other hawks in the area, because they're territorial. And then other birds will come to see what's going on, because they think it's looking for prey."¹³

Scientists have been experimenting with live organisms, too. Researchers at Cornell University have implanted control circuitry into moth pupae; when the creatures metamorphose into flying insects, the devices stay in place, emitting an electrical charge that is used to flap the moths' wings and control direction.¹⁴

AeroVironment, based in Simi Valley, California, this year unveiled its "nano-hummingbird," a spy-craft with a 6.5-inch wingspan and video camera in its belly. The Defense Department gave Boston Dynamics, a leading robotics company, a contract to design a Cheetah-like robot, capable of running up to 70 miles per hour, as well as a

humanoid robot, called Atlas, that will walk upright, climb, squeeze between walls, and use its hands.¹⁵

If the drone experts' predictions are on track with those of futurists like Joy and Hills, then by 2047, animal-like machines will be practically indistinguishable from their sentient counterparts. In fact, Joy predicts that the potential for a crossover will come as soon as 2030. By then, what he calls “radical progress in molecular electronics—where individual atoms and molecules replace lithographically drawn transistors”—will allow us “to be able to build machines, in quantity, a million times as powerful as the personal computers of today.” Machines will process information so powerfully and so quickly that, in effect, they will begin to learn.

“Given the incredible power of these new technologies,” Joy asks, “shouldn't we be asking how we can best coexist with them?”¹⁶

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Those hyper-speed computers will be essential for military drones, particularly those used for surveillance and reconnaissance missions. While the hunter variety of unmanned aircraft—the Predators and Reapers that obliterate unsuspecting terrorist targets with a Hellfire missile—have achieved the most notoriety, most drones flying today are just big sensor platforms. They're freighted with a dizzying array of ever-more-sophisticated cameras, imaging tools, and eavesdropping devices.

The amount of rich, detailed information they absorb is overwhelming. More than one hundred intelligence analysts track a routine drone flight, poring over communications, signals, and imagery. The military has become so addicted to drone-supplied data that a high-level panel of advisers recently staged a kind of intervention. A Defense Science Board report found that the military ignores valuable intelligence contained in the public domain, and that the torrent of data from drones and other remote sensors had created “a crisis in processing, exploitation, and dissemination” of information.¹⁷

This points out an important caveat about humans' role in unmanned flight. There may be just one pilot or controller, but there are dozens of ground-based support crew, analysts sitting in government buildings or on bases, and troops in the field helping the aircraft along its path and gobbling up the treasures it finds.

“Normally, it’s 180 people involved in maintaining one orbit of an MQ-1 or MQ-9,” the Predator and Reaper, says retired Lt. Gen. Dave Deptula, who was the Air Force’s first Deputy Chief of Staff for Intelligence, Surveillance and Reconnaissance—the top dog for remote piloted aircraft. “A big portion are analysts, then maintenance crews, and then leadership,”—the commanders and policymakers giving orders or crafting plans based on what they see.¹⁸

The military wants to limit the number of auxiliary personnel as well, in large part because they add significant cost to unmanned flights. But humans also process information and react slower than computers. People are standing in the way of drones’ autonomy, and therefore their progress.

In the next few years, the military hopes to create aircraft control systems that let one pilot fly several drones and that will lessen the number of ground support crew it takes to maintain a flight. The systems today are proprietary: Each manufacturer’s unmanned aircraft has its own control system. And each aircraft has its own system, too.

“Let’s say you want to buy ten Predators. You’d also have to buy ten control segments,” says Carlos Herrera, the director of product development for DreamHammer, a technology company that is building a universal control system. “If you switched remotes on a TiVo box, they’d still work. In this world, they don’t.”¹⁹

This inflexible design was partly intentional. Only a drone builder, or its designated subcontractors, knows how to work on the machine. It is full of unique software codes and other features. The customer is locked in to a relationship with the manufacturer, most of which are very large, and—by today’s standards—very old Defense Department contractors whose business model is to create expensive, proprietary weapons systems. If Google were building drones, the relationship probably would not work this way.

In fact, Google may soon be in the drone business. In May 2011, DIY Drones, a do-it-yourself company that helps enthusiasts build their own unmanned systems, released the PhoneDrone Board for Android, built in collaboration with Google. It is a circuit board designed to be compatible with Google’s phone-based Android operating system. “You just plug the Android’s phone USB connector into the board and you have two-way communications,” the company announced in a blog post.²⁰

DreamHammer is modeling its universal control system to let users select applications for different tasks. “Like an iPhone,” Herrera says. There is a command and control app,

a data app, an app for mission planning and post-flight analysis. And weapons? Naturally, there is an app for that, too. “It could literally be your trigger,” Herrera says.²¹

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The technology to fly tomorrow’s drones is premised on the idea that humans want to perform fewer tasks, and simpler ones at that. So a complex, clunky command system becomes an elegant touch screen.

But giving a machine some level of autonomy has other benefits besides convenience. A determined adversary “can interrupt the links,” Deptula says, between a remote aircraft and its pilot. That could allow an enemy to disable the aircraft or even to commandeer it and turn it against his foes.

“One way around this is to build a system that’s autonomous,” Deptula says. He notes that the Global Hawk, a long-range surveillance aircraft, is the first generation of such a system. “There’s not a person sitting on the ground with a stick, rudder, and throttles. He’s sitting at a computer terminal, typing in a mission profile, and when ready for take off, he hits the enter button and it goes off and does its thing.”²²

Even the next generation of surveillance aircraft primarily flown by humans in a cockpit will also be “pilot optional.” Northrop Grumman is building a new spy plane called Firebird that, with a few modifications, can be rigged for remote flight. The company is so confident that the feature will appeal to a cash-strapped Defense Department that it is building the aircraft at its own expense.²³

“We have the potential to achieve greater and greater degrees of autonomy,” Deptula says. “But that brings with it huge policy issues. We’re not ready today, and may never be, to hit a button and say, ok, come on back after you’ve delivered your bombs and tell us what you hit.”²⁴

That points to one more group of people, in addition to analysts and ground staff, who attend drone strikes: lawyers. Ultimately, they are the ones deciding which people are justifiable targets under the laws of war, running down a checklist with military and intelligence agencies to ensure the strikes are legal, necessary, and will not result in disproportional collateral damage. This is not to say, of course, that a machine couldn’t make these decisions. From a software perspective, it is just another calculation.

Deptula's insistence that we will never let a machine decide whom to kill has a familiar ring. That is just what an earlier generation of officers and policymakers said. But we have already allowed machines to make these most consequential human decisions, sometimes with tragic results.

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In 1988, the U.S.S. *Vincennes*, a guided missile cruiser on patrol in the Persian Gulf, shot down an Iranian passenger jet, after the ship's Aegis targeting system mistook it for a military fighter. The crew of the *Vincennes* could tell from the plane's course, speed, and radio signal that it was a civilian aircraft. But Aegis, which had been programmed to identify large Soviet bombers, said otherwise.

"Even though the hard data was telling the crew that the plane wasn't a fighter jet, they trusted what the computer was telling them more," Singer writes in *Wired for War*. "Aegis was on semiautomatic mode, but not one of the eighteen sailors and officers on the command crew was willing to challenge the computer's wisdom. They authorized it to fire."²⁵

Singer notes that the Navy had such faith in Aegis's abilities to identify a true enemy that the *Vincennes* was the only ship in the area allowed to fire on its own volition, without the crew seeking permission from more senior officers in the fleet. All 299 passengers and crew aboard the Iranian jet died, among them sixty-six children.

After documenting other incidents in which human beings chose not to veto their computerize comrades, Singer says, "The reality is there have been all sorts of new technologies that people insisted in absolutist terms would 'never ever' be allowed to run on their own without a human in the loop. Then, as the human roles were redefined, they were gradually accepted, and eventually were not even thought about."²⁶

The *Vincennes* offers a cautionary tale, but it has not deterred the military. The Defense Advanced Research Projects Agency, the Pentagon research arm that first developed stealth aircraft technology, has joined up with the Air Force to study ways to give drones autonomous control over their weapons. The Persistent Close Air Support Program is ostensibly aimed at speeding up the process by which tactical air controllers can call in strikes, either to piloted or unmanned aircraft. It takes about half an hour now, and researchers want to whittle that down to six minutes. To do that, the program will build equipment that lets unmanned aircraft respond autonomously

to a request for weapons fire from the controllers.²⁷ It will be up to the drones to figure out how best to attack the target.

Of course, autonomous machines need not be entirely free-thinking. Humans can program a drone to take off, patrol a safe house, and follow a target as he gets into a car and drives down the road. But they can also explicitly forbid the drone to kill anyone.

And yet during a drone strike, the computer is absorbing more information than humans ever could. The drone is “seeing” reality on the ground, sucking up huge caches of data to determine precisely who the target is, how many innocent bystanders there are, and where is the best place to aim the ordnance. With that level of intelligence, who wouldn’t trust the computer’s conclusion?

Humans will not tell a drone to go out and kill so much as trust that it knows who best to kill. At this point, the truly dystopian futurists would say it is only a matter of time before the machines decide to kill us, to overthrow their masters and ascend to their rightful rung in the evolutionary ladder.

“[O]nce an intelligent robot exists, it is only a small step to a robot species—to an intelligent robot that can make evolved copies of itself,” Joy writes.²⁸

Presumably, this makes all mankind as uncomfortable as it did Joy. But you need not buy in to his bleak vision of self-replicating robots to agree that the increasing use of autonomous unmanned systems forces us to confront moral dilemmas. How much control do we relinquish? How do we widen the loop enough so that it still includes people, even if we have one foot in and one foot out?

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Joy’s prescription, broadly speaking, is to abandon the pursuit of technologies that have the power to destroy us. He was most worried about the proliferation of reproducing nanotechnologies, computerized organisms that could be used as strategic weapons by nations or terrorist groups. Without a doubt, drone technology will become so ubiquitous and cheap that ordinary civilians will be able to acquire, use, and modify it. DIY Drones, the company that worked with Google to build the phone-based controller, holds a regular Maker Faire, where amateur drone-builders test out their systems and learn tricks from fellow hobbyists. The 2011 event drew

more than 100,000 attendees. (DIY Drones was founded by Chris Anderson, the editor of *Wired* magazine, which published Joy's essay.)

Society will not follow Joy's advice and give up the drone. When the FAA, an often-intransigent federal bureaucracy, says its official policy is to "accommodate and integrate" unmanned systems into the national air space, you know we have passed the point of no return.

What we need now is a heightened vigilance about the perils of our inventions, on par with the ambition and energy we are pouring into their creation. We need a code of ethics for drones.

Jordan Pollack, a professor of computer science and complex systems at Brandeis University, has proposed a set of seven questions about robot ethics. Question 6 asks, "Should robots carry weapons?" Pollack was writing in 2005, before we put that one to rest, but his answer is instructive. "We must distinguish autonomous robot weapons from remote control armaments—unmanned telerobots supervised by humans. The ethical difference between the two: Who's responsible for pulling the trigger."²⁹

I suggest that this ethical difference is not the most important problem, and that it is becoming irrelevant. Human supervision is the issue we are most clearly grappling with right now, and it is one that we can shape. I would even replace "human supervision" with "adult supervision." Unmanned systems are our robot children. We want them to follow our rules, but we also want them to learn to think for themselves when appropriate. As they mature, we should define our role in the terms of a parent. That means accepting that one day the young will leave the nest. So we should prepare for that inevitable day, however unpleasant or terrifying it seems now.

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Koret-Taube Task Force on National Security and Law

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